

INFINEX FINANCIAL GROUP

MoneyGuidePro
RISK TOLERANCE QUESTIONNAIRE

Personal and Confidential

Client _____

Financial Advisor _____

Date _____

Risk Tolerance Questionnaire

What is your approximate yearly household income? Include salary, bonuses, commission, pension plan distributions (excluding one-time lump sum distributions), Social Security, interest and dividends earned, and other income. (Circle one.)

Under \$50k \$50k to \$100k \$100k to \$200k Over \$200k

How much do you expect your household income to change over the next three years? (Circle one.)

Within 5% up or down Decrease 5% to 20% Decrease greater than 20%
Increase 5% to 20% Increase greater than 20%

How much do you expect your household expenses to change over the next three years?

Within 5% up or down Decrease 5% to 20% Decrease greater than 20%
Increase 5% to 20% Increase greater than 20%

What is the total current market value of all your assets? \$ _____

What is the total value of all of your outstanding liabilities? \$ _____

If you needed \$10,000 due to an unexpected financial obligation, would you have to redeem from this account?

Yes No

Investment Objectives

Your objectives and time horizon are critical in determining an appropriate strategy for your portfolio. A longer time horizon can take advantage of market cycles by using a more aggressive approach. A shorter time horizon requires a more conservative strategy, which is less subject to fluctuations in portfolio value.

What is your primary investment goal? (Circle one.)

Build wealth Finance retirement Generate income Other

If "Build wealth," total value of wealth goal is \$ _____

If "Finance retirement," target annual income is \$ _____

In approximately how many years do you expect to need the entire principal you are investing? (Circle one.)

3 years 5 years 10 years 15 years 20 years Greater than 20 years

Is this account tax-deferred? (Circle one.) Yes No

If not tax-deferred, what is the expected federal income tax rate on earnings from the account? _____%

Is tax-sensitive investing a primary goal? (Circle one.) Yes No

How much do you plan to invest in this account? \$ _____

How much money are you currently saving on an annual basis? \$ _____

Risk Tolerance Questionnaire (con't)

Attitude Toward Risk

The portfolio we recommend for you may fluctuate over the short term. Hypothetically, if you invested \$100,000, and it was performing in line with world financial markets and lost value during a year, at what point would you sell? (Circle one.)

I would not sell \$90k \$80k Less than \$80k

I am prepared to sacrifice some safety for higher returns. (Circle one.)

Agree Somewhat agree Somewhat disagree Disagree

I am willing to accept some risk in an effort to stay ahead of inflation. (Circle one.)

Agree Somewhat agree Somewhat disagree Disagree

I am willing to accept fluctuating returns in order to achieve my goal. (Circle one.)

Agree Somewhat agree Somewhat disagree Disagree

From time to time, I can tolerate negative returns. (Circle one.)

Agree Somewhat agree Somewhat disagree Disagree

I am willing to accept higher volatility to achieve above average returns. (Circle one.)

Agree Somewhat agree Somewhat disagree Disagree

Return You Want*

What Average Annual Rate of Return* do you want to earn on your portfolio to reach your financial goals? (Enter a number between 5% and 14%.)

Average Annual Rate of Return* You Want: _____ %

* This rate of return is hypothetical and used for comparison purposes only. It is not related to any specific investment and there is no guarantee you will actually receive this rate.